IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of:

Inventor(s) : Rouse, G. et al.

Serial No. : 10/002,985

Filing Date : 11/14/2001

For : Turbogenerator Anti-Surge Control

Examiner : not yet assigned

Art Unit : 2838

**CERTIFICATE OF MAILING**Date of Deposit: **June 6, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.08 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Kathleen Krochko

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Signature of Person Mailing Paper or Fee

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

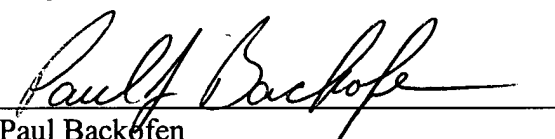
Sir:

This Information Disclosure Statement is submitted with Disclosure Form PTO-1449 with attached copies of the references under 37 CFR 1.97(b)(3), filed before the mailing date of the first Office Action. The reference were cited in the International Search Report mailed by the European Patent Office on April 18, 2002, in connection with International Application No. PCT/US 01/45558. The references disclosed are submitted in compliance with the duty of disclosure as defined in 37 CFR § 1.56. The Examiner is requested to consider and make the references of official record in the Application.

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The submission of the above-indicated references are not to be construed as a representation that an exhaustive search has been made, that additional information material to the examination of this Application does not exist, or that the references, either alone or in combination with other references, constitute prior art under 35 U.S.C. § 102 or § 103.

Respectfully Submitted,

A handwritten signature in cursive script, reading "Paul Backofen", is written over a horizontal line.

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<b>FORM PTO-1442</b>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Docket No.: <b>153501-0441</b>	Application No.: <b>10/002,985</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Applicant: <b>Rouse, G. et al.</b>	
(Use several sheets if necessary)			Filing Date: <b>11/14/2001</b>	Group: <b>2838</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,255,586	06/14/66	Hennig et al.	60	39.03	
	4,406,117	09/27/83	Rowen et al.	60	39.27	
	5,235,801	08/17/93	Evenson et al.	60	39.02	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
EP 0 998 005	03.05.2000	EPA			

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER****DATE CONSIDERED**

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant